



GUIDELINES FOR MEMBERS OF ASSESSMENT COMMITTEES AT ST

Guidelines for assessment committees in connection with:

- 1) Appointments to **permanent academic** positions (tenure track assistant professor/researcher, associate professor, senior researcher, senior adviser, professor with special responsibilities (MSO) and professor) at Science and Technology, Aarhus University
- 2) Appointment of **temporary academic** staff members (assistant professor, researcher, associate professor, senior researcher, senior adviser) at Science and Technology, Aarhus University

Effective as of 1 January 2016¹

¹Revised in June 2016 in relation to temporary positions

1. OBJECTIVE

These guidelines are intended for members of assessment committees in connection with the assessment of candidates to permanent and temporary academic positions at Science and Technology, Aarhus University.

The objective of the assessment committee is to assess applicants to permanent and temporary academic positions. Applicants must be assessed on the basis of the requirements described in the job advertisement and the general requirements described in ministerial order no. 899 of 1 July 2015 on the job structure for academic staff at universities in Denmark (*Bekendtgørelse om stillingsstruktur for videnskabeligt personale ved universiteter*) for the specific position in question. Read more at <http://www.au.dk/en/about/vacant-positions/rules-and-regulations>

The task of the assessment committee is to provide an impartial, expert, non-prioritised written assessment of the applicants' professional qualifications in relation to the position applied for.

Before the assessment committee becomes involved in the appointment process, the appointment committee, with the assistance of the chair of the assessment committee, selects a shortlist of applicants to be considered by the assessment committee. Applicants whose applications are forwarded to the assessment committee for consideration are informed of this. They are also informed of the composition of the appointment committee.

The rules for appointing assessment committees are described in '[Guidelines for heads of department at ST on appointing permanent members of academic staff](#)' and '[Guidelines for head of departments at ST on appointing temporary members of academic staff](#)'

2. TASKS OF THE ASSESSMENT COMMITTEE

The assessment committee must prepare individual written assessments of all applicants on the shortlist within a maximum of one month. The committee must consider which applicants it considers to be qualified or not qualified in regard to research, teaching and/or public-sector consultancy tasks, as well as in relation to the other academic qualification requirements specified in the job advertisement. In assessing the individual applicant, the committee must base its assessment on the faculty's [Criteria for evaluating candidates to permanent positions](#)².

The assessments must constitute a sufficient basis for appointing the best qualified applicant.

The committee members must prepare the expert statement together; the individual members may, however, prepare drafts subject to an agreed division of work. In the event of differences of opinion among the committee members, the assessment must clearly state who finds the individual applicant qualified and who does not, and the individual standpoints must in such case be accounted for separately.

It is not the task of the assessment committee to recommend who among the qualified applicants should be appointed, and the committee may not rank the applicants in any order of priority. Each committee member is responsible for familiarising him or herself with all of the available material, in order to ensure that all applicants to be assessed by the assessment committee are assessed on a sufficient basis.

2.1 Responsibilities of the chair

The chair of the assessment committee is selected in accordance with the guidelines described in the [Guidelines for heads of department](#). Before the assessment committee begins its work, the chair of the assessment committee is responsible for supporting the appointment committee to prepare a shortlist of applicants.

The chair of the assessment committee is responsible for ensuring that an impartial expert assessment is prepared for each shortlisted applicant. The chair is responsible for the work carried out by the assessment committee, and for ensuring that the deadline for submission of assessments is observed.

²The document Criteria for evaluating candidates to permanent positions covers the job categories associate professor, senior researcher, senior adviser, professor and professor with special responsibilities (MSO).

The chair of the assessment committee has overall responsibility for ensuring the quality of the assessments, including:

- The precision of the assessment. There must be a clear correlation between the evaluation of the material submitted, the qualification requirements in the job advertisement and the description of the job structure, and the conclusions of the assessment committee.
- That a reasoned assessment of the applicant's qualifications is included in the conclusion. The conclusion must contain a summary of the assessment of the applicant's qualifications with regard to research, teaching, public sector consultancy and other areas contained in the body of the assessment.
- The assessment committee's conclusion must be clearly related to the wording of the job advertisement and the criteria described in the faculty's assessment committee's '[Criteria for evaluating candidates to permanent positions](#)'.
- That the conclusion clearly states that the applicant is assessed as qualified or not qualified.
- That the assessment is written clearly and coherently.
- That applicants are treated fairly and equally.

When the assessment committee has completed its work, the chair must ensure that the assessments are uploaded to the PeopleXS recruitment system via the link supplied by HR.

2.2 Approval of assessments

The head of department ensures that the formal requirements of the assessment are met. If the assessment does not constitute a sufficient basis for decision and/or does not meet the formal requirements, it must be returned to the assessment committee for additions or revision.

When the final assessment of each applicant is available, HR forwards each applicant a copy of the assessment of his or her application. Each applicant is given an opportunity to comment on the assessment.

An applicant may only proceed in the recruitment process if a majority of the members of the assessment committee have found the applicant academically qualified for the position.

In the case of permanent academic positions, the head of department conducts job interviews supported by the appointment committee. After the interviews, the head of department must send a recommendation regarding appointment to the dean via HR. Next, the dean consults with the faculty's Tenure and Promotion Committee and decides whether to accept the recommendation regarding employment on the basis of an overall assessment. In the case of temporary academic positions, the appointment committee conducts interviews, after which the committee must send a recommendation regarding appointment to the head of department via HR. Next, the head of department decides whether the candidate is to be appointed.

3. CONFIDENTIALITY AND CONFLICTS OF INTEREST

Only the chair and the members of the assessment committee may participate in the work of the committee, and all have a duty of confidentiality. There must be no contact between the members of the assessment committee and the individual applicants in matters of relevance to the assessment.

The identity of the applicants is confidential. The individual applicants do not thus receive information about the names of the other applicants for the position.

Applicants who are selected for assessment during shortlisting are only informed of the composition of the assessment committee and the number of applicants who have applied for the position.

A member of the assessment committee has a duty to notify the chair immediately if there are conditions that may disqualify him or her from serving. If a member has doubts regarding his or her own or another member's eligibility to serve on the assessment committee, he or she should contact the chair of the assessment committee or HR.

To ensure that the assessment of applicants for academic positions is not affected by inappropriate considerations, no member of any assessment committee may be deemed legally incompetent or at risk of having a conflict of interest in relation to individual assessments. AU is subject to the Danish Public Administration Act's general rules regarding disqualification relative to a specific case.

A member may be disqualified if:

- that person has a personal or financial interest in the outcome of the case,
- that person's near relations or cohabitant has a personal or financial interest in the outcome of the case,
- that person is closely associated with a company, association or the like with a particular interest in the outcome of the case, or where other circumstances exist that may cast doubt on his or her impartiality, for example close friendship or evident enmity, or
- present or past joint publications or projects exist (see item 3.1 below).

In such cases, the dean or vice-dean for talent development is responsible for determining whether a conflict of interest exists in such cases.

In all cases, a concrete assessment of whether there are conflict of interest issues in relation to the committee member must be carried out. If the conclusion of the assessment is that the member is disqualified, a new member must be appointed in accordance with the rules for selecting members of assessment committees. Violation of the rules on conflict of interest and disqualification may result in the assessment committee's work being declared invalid.

3.1 Co-authorship

Co-authorship does not automatically entail disqualification. The crucial factor for the assessment is the scope of the co-authorship, the date of publication and the significance accorded the work in question in relation to the assessment. At Science and Technology, members of assessment committees may only to a very limited extent be involved in joint publications and projects with applicants, and may not have been involved in any joint publications whatsoever within the past five years.

4. REMUNERATION

In order to receive remuneration for their participation, after the completion of the assessment process, external members of the assessment committee receive an email from HR containing a form to be filled out and returned. The remuneration rewarded depends on the type of position being filled and the number of assessments. For more information regarding remuneration, please contact HR. Members are defined as external who are not employed by AU. Honorary professors and honorary associate professors are considered internal members.

5. LEGAL BASIS

- The legal basis for the rules can be found here: au.dk/en/about/vacant-positions/rules-and-regulations/ (click to follow link)
- [About the teaching portfolio](#) (click to follow link)
- The legal basis for the section regarding disqualification is based on the rules on disqualification in the [Danish Public Administration Act](#) Chapter 2 (click to follow link).